

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :

Donoho : Confirmation No.: 7085

Serial No.: 10/686,462 : Group Art Unit: 4143

Filed: October 15, 2003 : Examiner: Edward Chang

TITLE: METHOD AND SYSTEM FOR THE PROTECTION OF BROKER AND
INVESTOR RELATIONSHIPS, ACCOUNTS AND TRANSACTIONS

AMENDMENT AND RESPONSE TO OFFICE ACTION UNDER 37 C.F.R. §1.114

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

This Amendment and Response, along with a Request for Continued Examination, constitutes a complete and timely reply to the Office Action mailed May 29, 2009 in the above-identified application. The following remarks are respectfully submitted.

Amendments to the Claims begin on page 2.

Remarks begin on page 16.